



IRA Asset Transfer/Direct Rollover Request

For Assistance Call: 1-855-573-6994

GENERAL INFORMATION

Please read the Fund's **prospectus** for important information about the Fund and the **IRA Investor's Guide** for important information regarding IRA Investments and retain them for your files.

Please Note: IRA direct rollovers and transfers are dependent upon the release of your assets by your existing IRA custodian. Transfer of IRA assets may take up to 2 months to complete and your transfer may be further delayed due to various processes undertaken by the financial institutions involved.

Please complete the items below if you are transferring assets from another institution, initiating a direct rollover from a Harvest Funds corporate retirement plan, transferring from another IRA to a Harvest Fund IRA, or converting a traditional IRA at another institution to a Harvest Funds Roth Conversion IRA. If this is a new IRA account in the Harvest Funds, you must also complete an IRA Application.

We will contact your present Trustee/Custodian to arrange the transfer. If you have any questions or need additional forms, please call 1-855-573-6994.

If transfer/rollover is being added to an existing Harvest Funds IRA account, please provide account number: _____

Please print or type all items except signature.

1 IRA REGISTRATION

NAME OF IRA ACCOUNTHOLDER _____

STREET ADDRESS _____

CITY _____ STATE _____ ZIP _____

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SOCIAL SECURITY NUMBER _____ DAYTIME TELEPHONE # _____

E-MAIL ADDRESS _____

2 PRESENT TRUSTEE/CUSTODIAN

NAME OF PRESENT TRUSTEE/CUSTODIAN OR PLAN ADMINISTRATOR _____

STREET ADDRESS _____

CITY _____ STATE _____ ZIP _____

FUND NAME & ACCOUNT NUMBER AT PRESENT TRUSTEE _____

TELEPHONE NUMBER OF PRESENT TRUSTEE/CUSTODIAN _____

3 TRANSFER/DIRECT ROLLOVER INSTRUCTIONS

I have established an Individual Retirement Account (IRA) with SEI Private Trust Company. Please transfer my assets in accordance with the instructions below and mail the check to: Harvest Funds, P.O. Box 219009, Kansas City, MO 64121-9009. **Make the check payable to: Harvest Funds.**

- Liquidate all assets in my IRA Account and transfer the entire proceeds.
- Liquidate only part of my assets in my IRA Account and transfer \$ _____.
- Liquidate ONLY the assets listed below (For CDs):
 Account Number _____
 Immediately At maturity on: _____.
- Directly roll over my qualified plan distribution to my IRA.

4 ACCOUNT TYPE TO BE TRANSFERRED

- IRA
- Rollover IRA
- Employer Qualified Plan, 401(k), Profit Sharing Plan
- Roth Contributory IRA, original start date of _____
- Roth Conversion IRA, original start date of _____
- SEP IRA

5 REQUIRED DISTRIBUTION ELECTION INFORMATION

This section is to be completed by existing Custodian/Trustee or Plan Administrator if the individual is age 70½ or older this year.

Life Expectancy:

- Single life expectancy Joint life expectancy

The amount withheld to satisfy this year's required distribution \$ _____.

If joint life expectancy: _____

NAME OF DESIGNATED BENEFICIARY _____

- Spouse Non-spouse

December 31 Account Valuation \$ _____

SIGNATURE OF CURRENT CUSTODIAN/TRUSTEE OR PLAN ADMINISTRATOR _____

IRA Asset Transfer/Direct Rollover Request (continued)

6 SIGNATURE & AUTHORIZATION

I hereby agree to the terms and conditions set forth in this transfer authorization and acknowledge having established a Harvest Funds IRA through execution of the Harvest Funds IRA Application form.

SIGNATURE DATE

NOTE: Your present Custodian may require a signature guarantee. Please check with that institution for requirements. If required, please complete the following:

Signature Guaranteed By:

NAME OF BANK OR FIRM

SIGNATURE OF OFFICER TITLE

(Place Stamp Here)

This section to be completed by SEI Private Trust Company

SEI Private Trust Company hereby agrees to accept the transfer described above and upon receipt of cash or other assets will apply the proceeds to the Harvest Funds Customer Sub-Account established on behalf of the Customer.

SEI PRIVATE TRUST COMPANY:

BY DATE

TITLE

DELIVERY INSTRUCTIONS

For the institution where assets are now held.

Forward Checks To:

Harvest Funds
c/o DST Systems, Inc.
FBO Customer's Name
P.O. Box 219009
Kansas City, MO 64121-9009

Please call 1-855-573-6994 if this request is not in good order and a Harvest Funds representative will be happy to assist.